## IAP3 Rec'd PCT/PTO 19 DEC 2003

FORM PTO-1390 (REV . 02-2005) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

**CONCERNING A FILING UNDER 35 U.S.C. 371** 

ATTORNEY'S DOCKET NUMBER

40072-0025

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

107561200

INTE	RNAT	IONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED									
	Р	CT/JP2004/008625	June 18, 2004	June 18, 2003									
TITLE OF INVENTION													
CRYSTALLINE CARBAPENEM INTERMEDIATE													
APPLICANT(S) FOR DO/EO/US													
Kazuhiro AlHARA; Toshifumi HASEGAWA; Shinichi KITAHARA; Takashi WATANABE; Takashi ANDO; Takehiko SAWABE; Eiki SHITARA' Kunio ATSUMI; Kasumi OTA													
	licant matio		States Designated/Elected Office (DO	/EO/US) the following items and other									
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.											
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.											
3.		This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.											
4.	$\boxtimes$	The US has been elected (Article 31).											
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))											
	a.	is attached hereto (required only if not communicated by the International Bureau).											
	b.	has been communicated by the International Bureau.											
	c.	is not required, as the application was filed in the United States Receiving Office (RO/US).											
6.	$\boxtimes$	A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).											
	a.												
	b.	has been previously sub	mitted under 35 U.S.C. 154(d)(4).	•									
7.	⊠ a.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not communicated by the International Bureau).											
	b.	had been communicated	by the International Bureau.	·									
	c.	have not been made; how	wever, the time limit for making such a	amendments has NOT expired.									
	d.		d will not be made.										
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).											
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).											
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).											
Item	s 11 1	to 20. below concern docume	nt(s) or information included:										
11.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.											
12.	$\boxtimes$	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included											
13.	$\boxtimes$	A preliminary amendment.											
14.	$\boxtimes$	An Application Data Sheet under 37 CFR 1.76.											
15.	· 🔲	A substitute specification.											
16.		A power of attorney and/or add	dress letter.										
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 132 and 37 CFR 1.821-1825											
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4)											
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).											
20.	$\boxtimes$	Other items or information: Copy of PCT/ISA/210; PCT/IB/308; PCT/IB/301; PCT/IB/304											

IAPS REC'S PCT/PTO 19 DEC 2009

	KNOWN, SEE 37 CFR 1.7)	00	INTERNATIONAL APPLICA	TION NO.	7	ATTORNEY'S DOCKET NUMBER			
	the plassing field 2	04/008625		2-0025					
21. The following	g fees are submitted:	CALCULATIONS PTO USE ONLY							
⊠	a) Basic national fee								
⋈	b) Examination fee			\$200.00					
⋈	c) Search fee								
		900	0.00						
(excluding sequen	al fee for specification and ace listing or computer path the additional 50 sheets of								
TOTAL SHEETS	EXTRA SHEETS	NUMBER additionathereof rou	EXTRA (of each al 50 or fraction nded up to a whole number)						
-100 =	50 =			\$250.00					
	0.00 for furnishing the oa riority date (37 CFR 1.49								
CLAIMS	NUMBER FILED		IMBER EXTRA	RATE	1				
Total claims	22 - 20 =		2	x \$50.00	10	0.00			
Independent claims	1 - 3 =		0	x \$200.0					
	NDENT CLAIM(S) (if ap	1							
			<u></u>		1				
	aims small entity status.								
above are re	eddced DV 72.			SUBTOTAL =	100	00.00			
	\$130.00 for furnishing the								
earliest claimed b	rioritv date (37 CFR 1.49	92(f)).	TOTAL NA	+ TIONAL FEE =					
	the enclose assignment	40	0.00						
			TOTAL FEES	ENCLOSED =	104	10.00			
***************************************						nount to be	\$		
		,				nount to be charged:	\$		
a. 🛛 A check	c in the amount of \$1,	040.00	to cover t	ne above fees is e					
b.   Please charge my Deposit Account No in the amount of to cover the above fe									
A duplic	cate copy of this sheet is	enclosed.							
c. 🛭 The Co	mmissioner is hereby au	uthorized to cl	harge any additional	fees which may be	requi	red, or credit a	iny overpayment		
To Dep	osit Account No. 08-1	641	A duplicate coy of the	is sheet is enclose	ed.				
	public. <b>Credit</b> ization on PTC R 1.137(a) or (b)	)-2038.							
SEND ALL CORF	RESPONDENCE TO:								
Customer N	o.: 26633	5							
		Date							
			December 19, 2005						

FORM PTO-1390 (page 2 of 2)

2 N .

** COMBINED FEE TRANSMITTAL for FY 2005									IAPO Rec'd PCT/PTO 19 DEC 2001							
Effective 12/08/2004. Patent fees are subject to annual revision.										Application Number Tilling Date December 19, 2005				2005	<u>,0 2007</u>	
PTO/SB/17 (12-04) (Revised) (For payment of 37 CFR 1.17 fees including (f), (g),									First Named Inventor				December 19, 2005  Kazuhiro AIHARA et al.			
(h), & (i))									Examiner Name To be assign				signec			
Applicant claims small entity status. See 37 CFR 1.27									Art Unit To be assigned							
	тот	AL AMO	UNT OF	PAYMENT	(\$) 1,0	40.00			Attorney Docket No. 40072-0025							
		MET	HOD OF P	AYMENT (ch	eck one)				FEE CALCULATION (continued)							
☐ Credit card ☐ Money Order ☐ Other ☐ None							4. PETITION FEES UNDER 37 CFR 1.17 (f)  Fee Paid									
☐ Deposit Account								Fee Code: 1462 Fee \$ 400 For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)								
Deposit Account Number: 08-1641										5. PETITION FEES UNDER 37 CFR 1.17 (g) Fee Paid						
Deposit Account Name: Heller Ehrman White & McAuliffe LLP										Fee Code: 1463						
The Comm	nissioner is a	uthorized	to: (check a	ll that apply)_					6. PETITION FEES UNDER 37 CFR 1.17 (h) Fee Paid							
☐ Charge	fee(s) indica	ited below							Fee Code: 1464 Fee \$ 130 For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314							
	any overpayi	nents and c	harge any de	ficiencies					7. PROCESSING FEES UNDER 37 CFR 1.17 (i) Fee Paid							
Charge	any addition	nal fee(s) du	ring the pen	dency of this a	pplication				Fee Code: 1808 (1803 for § 1.221) Fee \$ 130 For petitions filed under: § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(c); §							
☐ Charge	fee(s) indica	ated below,	except for t	he filing fee to	the depos	it accou	int	§ 1.23(c)(3), § 1.41, § 1.46, § 1.22(d), § 1.35(b)(3), § 1.35, § 1.57(c), § 1.103(b), § 1.103(c), § 1.103(d), § 1.217; § 1.221; § 1.291(c)(5); § 1.497(d); § 3.81								
			FEE CA	LCULATION					8. OTHER FEES							
1. BASI	C FILING, S	SEARCH, A	AND EXAM	IINATION FI	EES				Entity Fee (\$)	Entity Fee (\$)	Fee Description				Fee Paid	
	FILIN	G FEES	SEAF	CH FEES	EES EXAMINAT				130	65	Surcharge - late filing fee or oath					
Application Type	Entity Fee	Small Entity	1 .	Small Entity	Entity Fee		Small Entity	Fees Paid (\$)	50	25	Surcharge - late provisional filing fee or cover sheet					
	(\$)	Fee (S)	(\$)	Fee (S)	(\$)		Fee (\$)		130	130	Non-English specification					
Utility	300	150	. 500	250	200		100	900.00	2,520	2,520	For filing a request for ex parte reexamination					
Design	200	100	100	50	130		65		920*	920*	Requesting publication of SIR prior to Examiner action					
Plant	200	100	300	150	160		80		1,840*	1,840*	Requesting publication of SIR after Examiner action					
Reissue	300	150	500	250	600		300		120	60	Extension for reply within first month					
Provisiona	1 200	100	0	0	0		0	<u> </u>	450	225	Extension for reply within second month					
			-	SUBTOTA	rr (I)	900.	00		1,020	510	Extension for reply within third month					
2. EXTRA	CLAIM FI	EES							1,590	795	Extension for reply within fourth month					
(\$)		ntity Fee (			Fee Desc				2,160	1,080	Extension for reply within fifth month					
50		25	excess	laim in excess of 20 and mor	e than in t	ne origin	nal patent		500	250	Filing a brief in support of an appeal					
200	_	100		ndependent cla ndent claim m					790	395	Filing a submission after final rejection (37 CFR 1.129(a))					
360		180	Multip	le dependent c		t alread	y paid		1,510	1,510	Petition to institute a public use proceeding					
Total			Extra Cla	ims above	<del>,    -</del>		Fee Pai	d	500	250	Petition to revive - unavoidably abandoned application					
Claims Independ	22	-20** =	2	x 50.00	<u> </u>	100	.00		1,500	750	Petition to revive - unintentionally abandoned application					
ent Claims	1	-3** =	0	х	] = [				. 50	50	Processing fee for provisional appls (37 CFR 1.17(q))					
Multiple D		paid, if gr	eater; For R	eissues see bel	) = [			<del></del>	1,000	180 500	Submission of Information Disclosure Statement  Request for oral hearing					
SUBTOTAL (2) 100.00									790	395	For each additional invention to be examined (37 CFR				-	
3. APPLICATION SIZE FEE								790	395	1.129(b)) Request for Continued Examination (RCE)						
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250									900	900	Request for expedited examination of a design application					
(\$125 for small entity) for each additional 50 sheets or fraction thereof (round up to the a whole number). See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)							Other fee (specify): Assignment 40.00									
Total		Extra		Number	of each	Fee		Small Entity Fee			SUBTOT	`AL (4+5+6+7+8	) L	40.00		
Sheets         Sheets         additional 50           -100 =         /50 =					nal 50	(\$) x 250	OR	(\$) x 125	* Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) S SUBMITTED BY										_				-61-1		
Name John P. Isacson a Registration No.									Complete (if applicable)							
(Print/Type Signature	e) J	) Isac	<del>7""      </del>	<del>)</del>				ey/Agent)	33,715 <i>Telephone</i> nber 19, 2005 Customer No				. 26633			
3-0-1-1476		72		~~			Dute	Decemi	17, 2003		<u>-</u>	Casiomer 140	-			
		/														